



# Prarup Shares & Stock Brokers Pvt. Ltd.

Share & Stock Brokers • Member : Bombay Stock Exchange Ltd.

CIN NO: U67120MH1997PTC110642

## Policy regarding unauthenticated news circulated through various modes of communication

All Branch Head/Sub-Brokers/Authorized Persons/Remisers/Employees/Dealers of Prarup Shares & Stock Brokers Pvt. Ltd. are directed that:

As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/SD/1/2011 dated March 23., 2011, all SEBI registered market Intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, Prarup Shares & Stock Brokers Pvt. Ltd. implements code of conduct for communicating through various modes of communication. Employees are prohibited from:

1. They should not encourage or circulate rumours or unverified information obtained from client, industry, any trade done by other person or any other sources without verification.
2. Access of Blogs/Chat, Forums/Messenger, Websites, etc, should be only under supervision otherwise access should not be allowed.
3. If accessed, logs for any usage of such Blogs/Chat, Forums/ Messenger Websites (called by any other name) shall be treated as records and the same should be maintained.
4. Be aware that any market related news received in your official mail/ personal mail/ blog or in any other manner, should be forwarded only after the same has been seen and approved by the Compliance Officer.

If any employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and strict action shall be taken against him/her.

Our employees are restricted from circulation of rumours or unverified information obtained from client industry, any trade or other sources without verification. The employees will have to seek prior approval from the designated Compliance Officer of Prarup Shares & Stock Brokers Pvt. Ltd before forwarding any market related news received by them either in their official mail/personal mail/blog or in any other manner and all the reporting with regard to violation of the same shall be done to the designated Compliance Officer. If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and strict action shall be taken and also liable for disciplinary action.

For Prarup Shares & Stock Brokers Pvt. Ltd

Mahendra A Shah  
Director



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